CERTIFICATE OF MAILING

SECTOR () Attorney Docket No.: ARTM 1

by certify that this correspondence is being deposited the United States Postal Service as First Class Mail to: ommissioner for Patents, Washington, DC 20231

on (Tune

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventors:

William R. Dubrul and Richard E. Fulton

Group Art Unit: 3763

SC/Serial No.: 09/781,721 Confirm. No.: 8945

12 February 2001

Filed: Title:

DILATING AND SUPPORT APPARATUS WITH

DISEASE INHIBITORS AND METHODS FOR USE

Customer No. 22470

BOX MISSING PARTS

Commissioner for Patents Washington, D.C. 20231

RESPONSE TO NOTICE OF MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application dated April 5, 2001, enclosed please find:

- Part 2 of the Notice to File Missing Parts of Nonprovisional Application (to be [X]returned with response);
- Declaration signed by inventors from parent patent application; [X]
- Substitute Specification of the parent U.S. Patent Application No. 09/289,279 [X](amended to meet 1.5 spacing requirements according to 37 CFR 1.52(b));
- Assignee qualifies for Small Entity Status; [X]
- Information Disclosure Statement and Form PTO-1449; and [X]
- Check No. 1242 in the amount of \$590.00 (basic filing fee, additional claims [X] fee and missing parts surcharge)

The Commissioner is hereby authorized to charge any additional fees that may be required and to credit any overpayment, to Deposit Account No. 50-0869 (Docket No. ARTM 1008-5). A duplicate of this paper is enclosed.

Date: 1 June 2001.

HAYNES & BEFFEL LLP

P.O. Box 366

Half Moon Bay, CA 94019 Phone: (650) 712-0340

Fax: (650) 712-0263

Respectfully submitted,

ames F. Hann, Reg. No. 29,719

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COMMISSIONER FOR PATENTS

UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/781,721

02/12/2001

William R. Dubrul

ARTM 1008-5US

22470 HAYNES AND BEFFEL P O BOX 366 HALF MOON BAY, CA 94019 CONFIRMATION NO. 8945
FORMALITIES LETTER
OC000000005940511

Date Mailed: 04/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$340.
 - \$180 for 10 total claims over 20.
 - \$160 for 2 independent claims over 3.
- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1180.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Line spacing on the specification, claims, or abstract is not 1-1/2 or double spaced (See 37 CFR 1.52(b)).

06/05/2001 RHARIS1 00000030 09781721

01 FC:201 355.00 GP
02 FC:202 80.00 GP
03 FC:203 90.00 GP
04 FC:205 65.00 GP

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

